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| | | | |
|---|--|--|--|
| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. 056208.50373C1 | |
| | | First Inventor HIROSHI KAJIYAMA et al | |
| | | Title PLASMA DISPLAY PANEL | |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | Express Mail Label No. | |

APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages: **1-31**]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: **6**]

5. Oath or Declaration [Total Sheets: **6**]

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure ☒ Copies of IDS Citations
Statement (IDS)/PTO-1449

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation
 ☐ Divisional
 ☐ Continuation-in-part (CIP)
 of prior application No.: **09/944,147**

Prior application information: Examiner: **Dalei Dong** Art Unit: **2875**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number **23911** OR ☐ Correspondence address below

| | | | | | |
|-------------------|------------------------|------------------------------------|---------------------|-------------------------|---------------------|
| Name | | CROWELL & MORING LLP | | | |
| | | Intellectual Property Group | | | |
| Address | | P.O. Box 14300 | | | |
| City | Washington | State | DC | Zip Code | 20044-4300 |
| Country | USA | Telephone | 202-624-2500 | Fax | 202-628-8844 |
| Name (Print/Type) | Gary R. Edwards | Registration No. (Attorney/Agent) | | 31,824 | |
| Signature | <i>Gary R. Edwards</i> | Date | | December 8, 2003 | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|------------------------|
| Application Number | N/A |
| Filing Date | December 8, 2003 |
| First Named Inventor | HIROSHI KAJIYAMA et al |
| Examiner Name | Dalei Dong |
| Art Unit | 2875 |
| Attorney Docket No. | 056208.50373C1 |

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

| | |
|------------------------|----------------------|
| Deposit Account Number | 05-1323 |
| Deposit Account Name | Crowell & Moring LLP |

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Charge any deficiency or credit any overpayments to the deposit account of the undersigned.☐ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------|-----------------------|---------------------------|----------|
| 1001 | 770 | 2001 | 385 Utility filing fee | 770 |
| 1002 | 340 | 2002 | 170 Design filing fee | |
| 1003 | 530 | 2003 | 265 Plant filing fee | |
| 1004 | 770 | 2004 | 385 Reissue filing fee | |
| 1005 | 160 | 2005 | 80 Provisional filing fee | |
| SUBTOTAL (1) | | | | 770 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | 6 | -20** = x | = |
| Indep. Claims | 1 | -3** = x | = |
| Multiple Dependent | | | = |

| Large Entity Fee Code | Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------|-----------------------|--|
| 1202 | 18 | 2202 | 9 Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) \$ | | | |

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------|-----------------------|---|----------|
| 1051 | 130 | 2051 | 65 Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* Requesting publication of SIR prior to Examination action | |
| 1805 | 1,840* | 1805 | 1,840* Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 Notice of Appeal | |
| 1402 | 330 | 2402 | 165 Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 Design issue fee | |
| 1503 | 640 | 2503 | 320 Plant issue fee | |
| 1406 | 130 | 1460 | 130 Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 Request for expedited examination of a design application | |


Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|------------------|-----------|--------------|
| Name (Print/Type) | Gary R. Edwards | Registration No. (Attorney/Agent) | 31,824 | Telephone | 202-624-2500 |
| Signature |  | Date | December 8, 2003 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : N/A Confirmation No. : N/A
Applicant : HIROSHI KAJIYAMA, et al.
Filed : December 8, 2003
TC/A.U. : N/A
Examiner : N/A
Docket No. : 056208.50373C1
Customer No. : 23911
Title : PLASMA DISPLAY PANEL

NOTICE OF CHANGE OF
CORRESPONDENCE ADDRESS OF ATTORNEYS OF RECORD
UNDER 37 C.F.R. §1.33(d)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

December 8, 2003

Sir:


As an attorney of record acting on behalf of the Applicant, the undersigned hereby gives notice of a change of correspondence address of attorneys of record, under 37 C.F.R. §1.33(d). Please send all future correspondence to the following address:

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Respectfully submitted,



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